

## Form CRS - Customer Relationship Summary Nicholson Meyer Capital Management, Inc.

Nicholson Meyer Capital Management, Inc. is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [www.Investor.gov/CRS](http://www.Investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

### **What investment services and advice can you provide me?**

We provide investment management and non-management financial consulting services to our clients.

When providing investment management services, we not only make investment decisions on a discretionary basis without getting approval in advance, but also implement these decisions and provide ongoing investment monitoring and reporting. We may also delegate certain management responsibilities to various outside managers. We do not sell proprietary investment products or limit clients to certain types of investments. Portfolio management services are tailored to your individual needs, although you may place reasonable restrictions on the types and sectors of investments used, etc. We require a minimum account size of \$400,000 but reserve the right to accept or decline any engagement.

When providing investment related non-management financial consulting services, we make investment recommendations but you are responsible for considering those recommendations and implementing them. We also provide, on an engagement basis, advice and assistance in areas such as educational funding, retirement planning, estate planning, risk management, tax planning, and asset allocation and may also provide you a written plan. You, however, decide whether to implement any consulting recommendations. We require a minimum net worth of \$400,000 but reserve the right to accept or decline any engagement.

**For additional information about the services we provide**, please see Item 4 of our Form ADV Part 2A Brochure at [www.nicholsoncap.com](http://www.nicholsoncap.com).

### **Key questions to ask your financial professional:**

Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

### **What fees will I pay?**

When providing portfolio management services, we generally charge an ongoing asset based fee but charge fixed fees in some situations. Asset based fees mean that we make more when your account rises or vice versa but can create a conflict of interest in that the more an investor has in an account, the more the investor will pay in fees. Our fee is calculated and deducted directly from your account either monthly, quarterly, or annually in arrears. Our fee is separate from all brokerage charges and any other custodial fees or expenses, mutual fund or ETF expenses, taxes, or other fees charged by outside parties.

For financial consulting engagements, we charge an hourly fee payable upon completion. Our fee is separate from all other fees or expenses assessed by outside parties.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

01/31/2024

For additional information about the fees we charge, please see Item 5 of our Form ADV Part 2A Brochure at [www.nicholsoncap.com](http://www.nicholsoncap.com).

**Key questions to ask your financial professional:**

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

When we act as your investment adviser, we are held to a fiduciary standard meaning that we are required to act in your best interest and not put our interest ahead of yours. Since at times our interests can conflict with your interests, we are expected to eliminate these conflicts or inform you of them so you can decide whether or not to consent. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they affect the investment advice we provide you. Here are some examples to help you understand what this means.

Some firms earn incentives by using proprietary products in client accounts, some firms share revenues with outside managers when directing client business, and some firms trade investments from their own inventory with their clients. We do not engage in any of these activities. We do, however, receive some non-monetary benefits such as research from our recommended custodian and this is considered a conflict of interest.

For additional information about our custodial arrangement, please see Item 12 of our Form ADV Part 2A Brochure at [www.nicholsoncap.com](http://www.nicholsoncap.com).

**Key questions to ask your financial professional:**

How might your conflicts of interest affect me, and how will you address them?

**How do your financial professionals make money?**

Our financial professionals are compensated with salary for servicing accounts, 401K and profit sharing contributions, and possible bonus compensation for generating new clients. Our financial professionals do not receive economic benefits or other compensation from outside parties.

**Do you or your financial professionals have legal or disciplinary history?**

No. Visit [www.investor.gov/CRS](http://www.investor.gov/CRS) for a free and simple search tool to research us and our professionals.

**Key questions to ask your financial professional:**

As a financial professional, do you have any disciplinary history? For what type of conduct?

**Where to go for additional information**

*Please visit our website at [www.nicholsoncap.com](http://www.nicholsoncap.com) or contact us at 816-931-5757 for a current copy of this summary, for our Form ADV Part 2 Brochure, or for additional information about our firm.*

**Key questions to ask your financial professional:**

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?